SBC018
07-21-2020

LOUISIANA STATE BOND COMMISSION
APPLICATION - OTHER

Instructions: *Complete all required fields, which are indicated by * . The PRINT button can be found at the bottom of page 2. To retain an electronic version of the application form, use "Save As" option under File menu on Internet browser toolbar. Once the form is saved, it can only be modified with Adobe Writer. If problems are encountered using form, call (225) 342-0040.*

1. Government Unit/Issuer * *(including district)*

Mailing Address *

Contact Name * Phone Number *

Email Address *

2. Beneficiary * *(If different than above)*

Mailing Address *

Principal Name * Title *

Contact Name * Phone Number *

Email Address *

Beneficiary Type * ◯ Government Unit OR Local Political Subdivision Proceed to Item 3

◯ Private Entity Proceed to Item 4

3. Municipal / Parish Governing Authority *
(if different than Government Unit/Issuer/Beneficiary)

4. Business Type * ◯ Corporation Type * ◯ C ◯ S ◯ LLC Date of Incorporation *

Corporate Registry * ◯ Foreign ◯ Domestic If Domestic, State Incorporated

◯ LLP

◯ Non-Profit

◯ Partnership

◯ Other *(specify)*

Registered with Secretary of State to do business in Louisiana ◯ Yes ◯ No

5. Attorney / Official Name *

Attorney Firm / Official's Title * Phone Number *

Email Address *

6. Requested SBC Meeting Date *

7. Indicate if this application involves prior SBC approval * ◯ Yes ◯ No

If **Yes**, specify SBC Tracking # * Approval Date *

Submitted by: * Date Submitted *

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8. Type of Request *

◯ Amendment Type * ☐ Cost of Issuance/Professionals ☐ Terms ☐ Structure ☐ Other

◯ Lease/Finance Agreement

◯ Cooperative Endeavor Agreement

◯ Other

9. Description of Request *

Additional Application Requirements as Applicable

1. Certified Resolution(s) of District and/or Municipal/Parish Governing Authority must include
   Issuance amount * Interest rate * Maturity * Purpose Security Legal Citation(s) SBC Swap Policy Language
2. Approval Parameter form ***(SBC016)***/SBC Resolution must match language of resolution adopted by Governing Authority and must include:
   Issuance amount * Interest rate * Maturity * Purpose Security Legal Citation(s) SBC Fee Policy Language

* Parameters must specify: "Not to exceed" OR "Not exceeding"
"To mature on or before", "To mature not before", "To mature no later than"

3. Payment of $100 or $1,500 application fee (as outlined in LAC Title 71), made payable to Louisiana State Bond Commission.
4. Financial Disclosure Form ***(SBC002)***
5. Drafts of pertinent documents *(i.e., Cooperative Endeavor agreements, Local Service Agreements, Trust Indenture, Loan Agreement, etc.)*

Application Submission

Upon completion of all required fields, select PRINT FORM button to print copies for your records.

Entire application package (application forms, certified resolution(s), financial statements, fee payment, etc.) must be received at SBC office by specified deadline, which can be found at: https://www.treasury.la.gov/state-bond-commission.

Electronic submissions must be sent to SBC-Application@treasury.la.gov. Each specific document type (application form, copy of certified resolution(s), financial statements, etc.) must be included as separate attachments in pdf, Word or Excel format. A scanned copy (pdf format) of the application fee check should be included as one of the attachments.

Note: The only originals that must be physically transmitted after electronic submission, are certified resolution(s) and application fee check, which must be received within 3 business days of electronic submission.

Submission of hard copy documentation must be mailed to:

Sent via US Mail	**Sent via Other Delivery Services**
State Bond Commission	3rd Floor - State Capitol
P.O. Box 44154	900 North 3rd Street
Baton Rouge, LA 70804	Baton Rouge, LA 70802

Upon receipt of each application submission, the documentation will be reviewed by SBC staff. A confirmation email will be sent to acknowledge receipt of the application, identify SBC tracking number, provide assigned analyst and confirm if minimum requirements have been met. If confirmation email is not received within 2 working days after electronic submission, call (225) 342-0040 to verify receipt of electronic submission.

Print Form